

Customer No. 24498  
Serial No.: 10/511,933

PATENT  
PU020129

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kevin Michael Williams, et al.  
Serial No. : 10/511,933  
Filed : October 19, 2004  
For : PASSIVE COMPENSATION OF FOCUS TRACKING UTILIZING WINDING AND  
CAPACITOR  
Examiner : MINH D. A  
Art Unit : 2621

INFORMATION DISCLOSURE STATEMENT

- [ ] 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]  
[ ] 2 Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]  
[X] 3 Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]  
[ ] 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
[before issue fee payment]

Hon. Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

[X] 5 A list of documents on form PTO-ISB/08a together with copies of each identified-document, and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- [ ] 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]  
[ ] 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and  
[ ] 8 The required certification made in item 11 below; or  
[ ] 9 The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.  
[X] 10 37 CFR§ 1.97(d): [before issue fee payment]; and  
(a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g., "Allowed Files, Batch N/A, Date of Allowance N/A"), and  
(b) The required Certification is stated in item 11 below.

- ☐ 11 Certification
- ☒ 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ 14 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully Submitted,

Kevin Michael Williams, et al.

  
By: William A Lagoni, Patent Counsel  
Registration No. 47,730  
(317) 587-4029

THOMSON Licensing LLC  
Patent Operations  
P.O. Box 5312  
Princeton, New Jersey 08543-5312

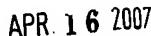
DATE: 4/10/07

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a postage paid envelope addressed to: Commissioner for Patents, Alexandria, Virginia 22313-1450 on the date indicated below.

Date: 4/10/07 Signature: 

Form IDS Ltr.  
(05/2005)



Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

(Use as many sheets as necessary)

Sheet	1	of	1
-------	---	----	---

**Complete if Known**

<i>Application Number</i>	10/511,933
<i>Filing Date</i>	October 19, 2004
<i>First Named Inventor</i>	Kevin Michael Williams, et al.
<i>Art Unit</i>	2821
<i>Examiner Name</i>	Minh D. A
<i>Attorney Docket Number</i>	PU020129

[illegible][illegible]Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*